

0610

COPY OF PAPERS

Please type a plus sign (+) inside this box \rightarrow

PTO/SB/21 (08-00)

Dec. 4, 2001

December 4, 2001

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

19

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 09/954,557 **Filing Date** September 17, 2001 **First Named Inventor** Masoud Molaei 3731 Group Art Unit **Examiner Name TBD** ENDOV-55675 Attorney Docket Number

		ENCL	OSURES (d	check all that apply)				
X Fee Transmittal Form	1	(for an A	ent Papers pplication)	to Group	nce Communication			
X Fee Attached		X Drawing	(s) (Formal)		munication to Board nd Interferences			
Amendment / Reply		Licensin	g-related Papers	Appeal Com (Appeal Notice, I	munication to Group Brief, Reply Brief)			
After Final		Petition		Proprietary	nformation			
Affidavits/declaration(s)		Petition to Convert to a Provisional Application		Status Lette	Status Letter			
Extension of Time Request		Change Address	f Attorney, Revocation of Correspondence Disclaimer		sure(s) <i>(please</i> w):			
Express Abandonment Request					Copy of Notice to File Missing Parts,			
Information Disclosure Statement		CD, Number of CD(s) Return Poste			tcard			
Certified Copy of Priority Document(s)		Remarks						
Response to Missing Parts/ Incomplete Application								
Response to Missing Parts		24201 PATENT TRADEMARK OFFICE						
under 37 CFR 1.52 or 1.53		TALEN INADENIAR OFFICE						
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
John V. Hanley, Registration No. 38,171 FULWIDER PATTON LEE & UTECHT, LLP								
Signature W. A.								
Date	Date December 4, 2001							
CERTIFICATE OF MAILING								

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

John V. Hanley, Reg. No. 38,171

mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature



E Comp



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 40.00

Complete if Known				
Application Number	09/954,557			
Filing Date	September 17, 2001			
First Named Inventor	Masoud Molaei			
Examiner Name	TBD			
Group Art Unit	3731			
Attorney Docket No.	ENDOV-55675			

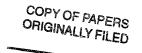
METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES				
Denosit Denosit	Large Small				
Account Number 06-2425	Entity Entity Fee Fee Fee Fee Fee Description	Fee Paid			
Danasik Commencer	Code (\$) Code (\$)	\$130.00			
Account Name Fulwider Patton	105 130 205 65 Surcharge - late filing fee or oath	\$130.00			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. X Payment Enclosed: X Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 390 216 195 Extension for reply within second month				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month				
101 710 201 355 Utility filing fee	118 1,390 218 695 Extension for reply within fourth month				
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
SUBTOTAL (1) (\$)	138 1,510 138 1,510 Petition to institute a public use proceeding				
2. EXTRA CLAIM FEES	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES Fee from	141 1,240 241 620 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims	143 440 243 220 Design issue fee				
Claims Multiple Dependent	144 600 244 300 Plant issue fee				
	122 130 122 130 Petitions to the Commissioner				
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)	\$40.00			
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection				
104 270 204 135 Multiple dependent claim, if not paid	(37 CFR § 1.129(a)) 149 710 249 355 For each additional invention to be				
109 80 209 40 ** Reissue independent claims over original patent	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2)	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 17	0.00			

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	John V. Hanley	Registration No. (Attorney/Agent)	38,171	Telephone	310-824-5555
Signature	-7r2 2, 200			Date	Dec. 4, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





PATENT Docket No. ENDOV-55675

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Masoud Molaei

SERIAL NO.: 09/954,557

FILED: September 17, 2001

TITLE: AVOIDING STRESS INDUCED MARTENSITIC TRANSFORMATION IN NICKEL TITATNIUM ALLOYS USED IN MEDICAL DEVICES

DATE: December 4, 2001

Examiner: TBD

Group Art No.: 3731

Certificate of Mailing Under 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on December 4, 2001.

John V. Hapley, Reg. No. 38,171

SUBMISSION OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application - Filing

Date Granted, mailed October 15, 2001 (copy attached-Part 2), applicant submits herewith for the
above-identified application, a check in the amount of \$170.00, the surcharge fee for late filing of
oath or declaration (\$130.00) together with an executed Declaration and Power of Attorney for a

Patent Application, Submission of Formal Drawings together with four (4) sheets of formal
drawings, and recordation filing fee (\$40.00) together with an executed Assignment document
and Recordation Cover Sheet.

Please credit any overpayment or charge any additional fees in this matter to our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

ohn V. Hanley, Reg. No. 38,171

JVH:LAK:bg Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, California 90045

Tel: (310) 824-5555 Fax: (310) 824-9696 Customer No. 24201

223304.1

COPY OF PAPEL OF PAPE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Masoud Molaei

Serial No. 09/954,557

Filed: September 17, 2001

For: AVOIDING STRESS-INDUCED
MARTENSITIC TRANSFORMATION
IN NICKEL TITATNIUM ALLOYS
USED IN MEDICAL DEVICES

Date: December 4, 2001

Examiner: TBD

Group Art Unit: 3731

Docket No. ENDOV-55675

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage

as First Class Mail in an envelope addressed to

Commissioner for Patents, Washington, D.C. 20231 on December 4, 2001.

John V. Manley, Registration No. 38,171

SUBMISSION OF FORMAL DRAWINGS

Commissioner for Patents Washington, D.C. 20231

ATTENTION: Official Draftsman

Dear Sir:

Applicant has enclosed four (4) sheets of formal drawings. Please substitute these drawings for the drawings originally filed in this case.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

John V. Hanley

Registration No. 38,171

JVH:LAK:bg Enclosures 221850.2

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, California 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696

Customer No. 24201